

Designing 3D RNA Origami Nanostructures with a Minimum Number of Kissing Loops

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Abstract

We present a general design technique for rendering any 3D wireframe model, that is any connected graph linearly embedded in 3D space, as an RNA origami nanostructure with a minimum number of kissing loops. The design algorithm, which applies some ideas and methods from topological graph theory, produces renderings that contain *at most one* kissing-loop pair for many interesting model families, including for instance all fully triangulated wireframes and the wireframes of all Platonic solids. The design method is already implemented and available for use in the design tool *DNAforge* (<https://DNAforge.org>).

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1 Background

Concurrently to the advances in DNA nanotechnology, there has been increasing interest in using RNA as the fabrication material for self-assembling bionanostructures. In comparison to DNA, the appeal of RNA is that the strands can be produced by the natural process of polymerase transcription, and the structures can thus be created in room temperature *in vitro*, and possibly eventually *in vivo*, from genetically engineered DNA templates. The challenge, on the other hand, is that the folding process of RNA is kinetically more complex and hence less predictable than DNA helix formation, at least at the present stage of RNA engineering.

Starting from the mid 1990's, the leading design technique in this area of *RNA nanotechnology* has been “RNA tectonics”, whereby naturally occurring RNA structures are connected together with connector motifs such as kissing-loop and sticky-end pairings, to create complex target structures [13, 14]. A complementary top-down *de novo* design approach of “RNA origami” was however presented in a landmark 2014 article by Geary et al. [11]. In this method, broadly speaking, a given mesh model is rendered in RNA by designing a strand that will, firstly, fold upon itself to realise a spanning tree of the mesh by edges constituted as RNA helices, and secondly, induce the remaining edges by kissing-loop motifs that connect matching half-edge hairpin loops at 180° angles to create almost perfect “pseudo-helices”. (This abstract view in terms of mesh models and spanning trees is from [20] and ignores many important details of the original work.) Following article [11], which demonstrated the feasibility of the RNA origami design method by the experimental synthesis and characterisation of several types of 2D RNA tiles, this line of work has been further developed with new connector motifs, design techniques, and tools in e.g. publications [10, 18].



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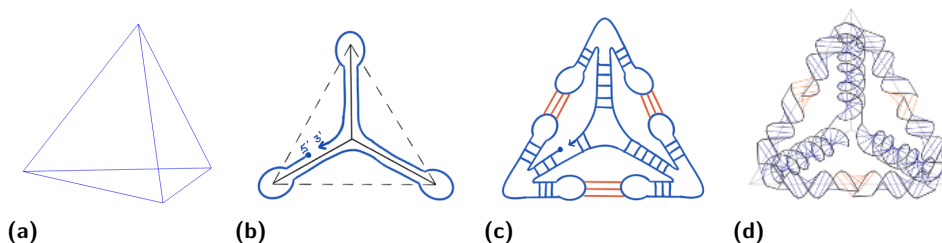
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Wireframe 3D RNA origami

The RNA origami idea has also been extended to cover 3D wireframe models [4]. (While article [4] addresses primarily polyhedral meshes, the method therein applies in fact to any connected straight-line wireframe model; that is, the meshes do not need to contain faces.) The basic spanning-tree based 3D design scheme is presented in Figure 1.



■ **Figure 1** A spanning-tree based design scheme for 3D RNA wireframe origami. (a) Targeted wireframe model. (b) A spanning tree and strand routing of the wireframe graph. (c) Routing-based stem and kissing-loop pairings. (d) Helix-level model. (Adapted with permission from [4].)

In this scheme, one starts from the targeted wireframe, which in the case of Figure 1(a) is a simple tetrahedron. (Or more precisely the wireframe skeleton of a tetrahedral mesh.) In the first design step (Figure 1(b)) one chooses some spanning tree T of the wireframe graph G , and designs the primary structure of the RNA strand so that it folds to create a twice-around-the-tree walk on T , covering each edge of T twice in antiparallel directions. In the second design step (Figure 1(c)) one then extends the walk halfway along each of the co-tree (= non-spanning tree) edges of G into a hairpin loop, and designs the base sequences at the termini of the hairpins so that pairwise matching half-edges connect to form the 180° kissing-loop motifs mentioned earlier, thus constituting the co-tree edges. Figure 1(d) presents a helix-level model of the eventual nanostructure.

Challenges with kissing loops, goals of present work

Since any spanning tree of a connected graph with n vertices and m edges contains $n - 1$ edges, the corresponding co-tree contains $m - n + 1$ edges, and this is the number of kissing-loop connections employed by the previous method. While the method thus in principle applies to all connected 3D wireframe models, in practice using a large number of kissing-loop pairs in the designs raises some concerns. Firstly, kissing-loop pairings, which in the case of the 180° connector motif contain only six nucleotide pairs, may not be stable over long time scales. Secondly, the presence of a large number of slowly-forming tertiary structures such as kissing loops increases the risk of nonspecific pairings across structures, and hence aggregation of particles, in the synthesis stage. (There is some evidence of this in the experimental data presented in article [4].) And thirdly, there is at present no experimental data on large families of “orthogonal” kissing-loop pairs (high specific/low nonspecific pairing affinity) that would be needed for the design of complex structures using this method, and it is not even clear how large such families could reasonably be (cf. supplementary section S1.3.2. of article [4]).

Thus, in the present work we address the task of minimising the number of kissing loops in 3D RNA origami wireframe designs. As an application of an intimate connection between oriented strand routings on wireframes and topological graph embeddings, and building on earlier work from different contexts [6, 8, 28], we derive a polynomial time strand-routing

algorithm that goes beyond the simple twice-around-the-tree idea, and minimises the number of kissing-loop connections needed to complete the design. As it turns out, the minimum number of kissing loops needed is *at most one* for many interesting classes of models, including for instance all fully triangulated wireframes and all the wireframes of Platonic solids. The method is already implemented and easily accessible in the online design tool *DNAforge* [5].

In the following, Section 2 presents the tight connection between viable strand routings and graph embeddings, and Section 3 the ensuing kissing-loop minimising strand routing algorithm. Section 4 introduces some graph classes where the maximum number of kissing loops is at most one, Section 5 discusses the *DNAforge* tool, and Section 6 provides a summary and some notes on further research directions.

2 Strong antiparallel traces and topological graph embeddings

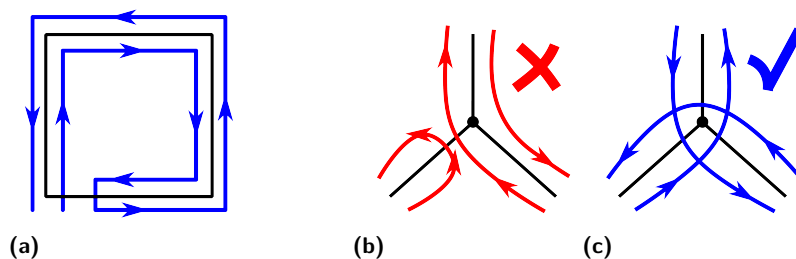


Figure 2 Strand routing criteria for RNA nanostructure design. (a) Edges covered twice in antiparallel directions. (b) Unstable vertex crossover pattern. (c) Stable vertex crossover pattern.

Let us first consider the possibility of rendering a given (connected) wireframe model using a single RNA strand with *no* kissing loops. This entails two conditions for the routing of the strand: firstly, every edge of the wireframe model must be covered twice, in antiparallel directions (Figure 2(a)); and secondly, the strand crossover pattern at each vertex must be *stable* (Figure 2(b)). The second condition signifies that if at a given vertex v with incident edges e_1, \dots, e_d , one considers edges e_i and e_j to be *locally coupled* when there is a strand segment that crosses from e_i to e_j or vice versa, then this local edge coupling (multi-)graph must be connected; and since by the first condition it is regular of degree 2, it must be a cycle. (In the literature, the local routing pattern of the strands at a vertex is called a “transition” in [7, 3] and the local edge-connectivity graph the “vertex figure” in [6].)

Thus, every viable RNA strand routing of a wireframe model corresponds to an antiparallel double trace of its edges, in such a way that the edge-to-edge crossings at each vertex follow some local cyclic order, viz. a cyclic permutation of the incident edges. As it turns out, these conditions are exactly equivalent to the respective abstract graph (that is, the model with geometry ignored) having a *1-face cellular embedding in some orientable surface*, a result established by Fijavž et al. in 2014, albeit in the context of polypeptide nanostructure designs [6]. Fijavž et al. call graph walks that satisfy the two indicated conditions *strong antiparallel traces*. (Earlier studies along the same lines, but not quite establishing the same connection, include e.g. [27, 25, 3, 15]. For more recent presentations and applications, see e.g. [1, 19, 2].)

Unfortunately, graphs that contain strong antiparallel traces are not that common, as observed already with an incomplete characterisation in [15]. Notably e.g. all of the wireframes of Platonic solids are counterexamples, and thus cannot be properly rendered with a single

RNA strand. As we shall see, however, admitting even a single kissing loop in the designs changes the situation dramatically.

Surfaces, graph embeddings, and Euler's formula

To get a proper understanding of the methodology, let us review some key topology concepts and results about surfaces and graph embeddings [17].

- A *surface* S is a topological space of dimension two (a 2-manifold), meaning that every point in the space has a neighbourhood homeomorphic to an open unit disk. (A *homeomorphism* is a topological isomorphism, precisely speaking a continuous bijection between two topological spaces with a continuous inverse.)
- A surface S is *orientable* if there is a consistent sense of clockwise/counterclockwise at each point of S ; technically speaking if there is no embedding of the Möbius strip in S .
 - We shall only be considering surfaces that are connected, orientable, topologically compact and without boundary. This class of surfaces includes e.g. the sphere and the torus, but not e.g. either the open disk (not compact) or the closed disk (has boundary), and of course not nonorientable surfaces such as the Möbius strip or the Klein bottle.
 - From now on, the word “surface” in this paper means a connected, orientable, compact surface without boundary, unless otherwise explicitly stated.
- The *genus* of a surface S is the number of nonintersecting cycles that can be drawn on S without separating it.

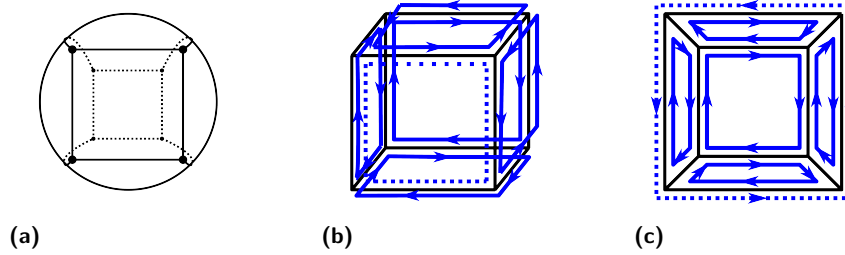
The classification theorem of surfaces states that every (connected, orientable, compact, boundaryless) surface is homeomorphic to either the sphere or k tori sewn together (intuitively the surface of a “ k -hole donut”), for some $k \geq 1$. Furthermore, since the sphere has genus 0 and a k -torus has genus k , for this family of surfaces the genus is a topological invariant: any two surfaces with the same genus are homeomorphic, and vice versa.

- An *embedding* of a graph $G = (V, E)$ in a surface S is a continuous 1-1 mapping of G into S as a system of points and arcs. (That is, the vertices V get mapped into points in S , and the edges E into corresponding point-connecting arcs, in such a way that the arcs don't cross in S .)
- An embedding $\epsilon : G \rightarrow S$ divides S (or, technically, $S \setminus \epsilon(G)$) in disjoint *regions* or *faces*. If every face is homeomorphic to an open disk, the regions are called *cells* and the embedding is a *cellular embedding*.
- Any cellular embedding of a graph $G = (V, E)$ in a surface of genus g satisfies *Euler's generalised polyhedral formula*, or briefly just *Euler's formula*:

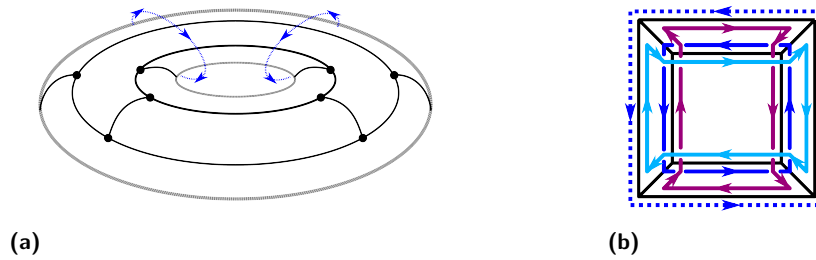
$$|V| - |E| + |F| = 2 - 2g,$$

where $|F|$ is the number of cellular faces in the embedding.

To illustrate these concepts, let us consider the simple example of embedding the cube graph. Figure 3(a) presents a “natural” cellular embedding of this graph in a sphere surface. The embedding comprises six cells that correspond to the six faces of the 3D cubical polyhedron. Figure 3(b) illustrates how the corresponding cubical wireframe could be assembled using six RNA or DNA strands, each routing one of the faces of the cube cyclically in a counterclockwise direction. (The strand that routes the front face is indicated separately by a dotted line.) Figure 3(c) presents the same strand routing projected on the planar



■ **Figure 3** Cube graph embedded in a sphere surface. (a) Visualisation of the embedding. (b) Counterclockwise strand cycles routing the faces of the cube polyhedron model; each edge covered twice in antiparallel directions. (c) Faces and cycle routings presented in the Schlegel diagram of the model.

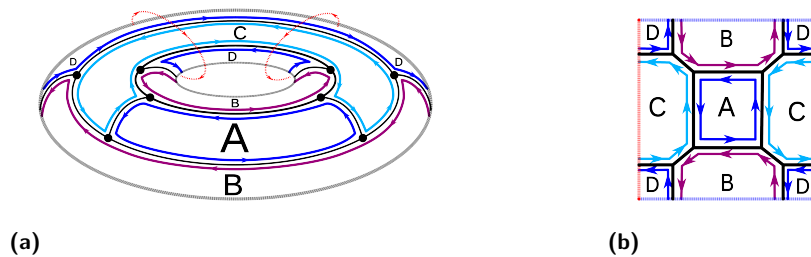


■ **Figure 4** Cube graph embedded in a torus surface. (a) Visualisation of the embedding. (b) Corresponding strand routings presented in the Schlegel diagram, with each edge covered twice in antiparallel directions.

Schlegel diagram of the polyhedron. Since the sphere has genus $g = 0$, one can validate that Euler's formula holds: $|V| - |E| + |F| = 8 - 12 + 6 = 2 = 2 - 2g$.

However, the cube graph can also be cellularly embedded in a torus surface as presented in Figure 4(a). Now there are only four cells, and a corresponding system of four strands cyclically routing the cells, again in counterclockwise orientation and covering each of the graph edges twice in antiparallel directions, is outlined in the Schlegel diagram in Figure 4(b). For added clarity, Figure 5(a) indicates the four cells labelled as A, B, C, D, and Figure 5(b) shows the cell partitioning and the strand routings on a 2D torus diagram, which “folds around” at the top/bottom and left/right boundaries. Again Euler's formula can be validated, now with the toroidal genus $g = 1$: $|V| - |E| + |F| = 8 - 12 + 4 = 0 = 2 - 2g$.

Note that in both of these cube graph embeddings, the strand crossovers at the vertices follow some cyclic order; in both cases actually the clockwise order around each vertex in the



■ **Figure 5** Cube graph embedded in a torus surface. (a) Labelled visualisation of the embedding. (b) Cell partitions and strand routings displayed on a torus diagram.

respective embedding surface. Conveniently, this arrangement (i) ensures that the vertices are *stable* in the sense defined earlier, and (ii) results in counterclockwise routes around the cells, which also guarantees that the cell boundaries, viz. the graph edges, are all covered twice in antiparallel directions.

In fact, any cellular embedding of a graph G in an orientable surface S induces such a system of (relative clockwise) cyclic permutations of incident edges at each vertex of G , that uniquely determines the embedding. And vice versa: any system of local cyclic edge permutations at the vertices of a graph G that also guarantees antiparallel coverage of the edges corresponds to some embedding of G in an orientable surface.

Note also that the number N of cyclic strands required to fabricate a graph $G = (V, E)$, or the corresponding metric wireframe, according to the recipe provided by a given cellular embedding equals the number of faces $|F|$ in that embedding. Thus, by Euler's formula, this number and the genus of the embedding surface are in an inverse relationship:

$$N = |F| = |E| - |V| + 2 - 2g.$$

Thus, to minimise the number of strands needed, one should find an embedding into a surface of maximum possible genus. Ideally, one would hope to achieve $N = 1$, that is a cellular embedding in a surface of genus $g_{\text{ideal}} = \frac{1}{2}(|E| - |V| + 1)$ that comprises a *single face*. The cyclic strand route around this face would then constitute a strong antiparallel trace of the graph G .

3 The Xuong tree design method

As discussed earlier, many interesting graphs do not admit strong antiparallel traces, or equivalently single-face cellular embeddings of the ideal maximum genus g_{ideal} . However, in the context of RNA origami design one can compromise on this target by judiciously removing some edges from the target graph G so as to reach the maximum *achievable* single-face embedding genus, and then reintroducing the removed edges as kissing-loop pairs. This is the idea underlying our *Xuong tree design method* for RNA origami, to be presented next.

Let $G = (V, E)$ be a connected graph, T a spanning tree of G , and $co(G, T) = G \setminus T$ the co-tree of G corresponding to T . All the spanning trees of G are of size (= number of edges) $\alpha(G) = |V| - 1$ and all the co-trees correspondingly of size $\beta(G) = |E| - |V| + 1$. The latter value is called the *Betti number*, or cycle rank, of G .

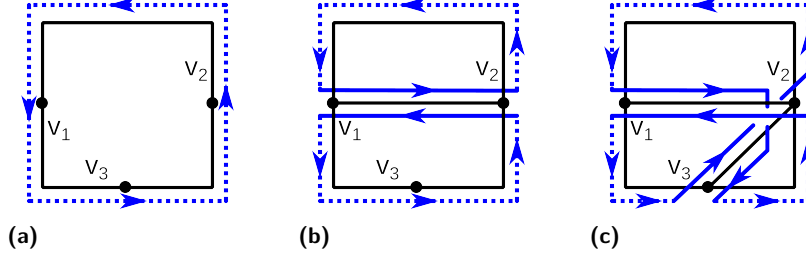
The *Betti deficiency* $\xi(G, T)$ of a spanning tree T in G is defined to be the number of odd-sized components of $co(G, T)$. The *deficiency of a graph* G is the minimum Betti deficiency over all its spanning trees, $\xi(G) = \min_T \xi(G, T)$ [8, 28].

► **Theorem 1** (Xuong 1979 [28]). *The maximum achievable embedding genus of a graph G is $\gamma(G) = \frac{1}{2}(\beta(G) - \xi(G))$.*

[Note that, in reference to the previous section, $\gamma(G) = g_{\text{ideal}} - \frac{1}{2}\xi(G)$, hence the term “deficiency” $\xi(G)$. Furthermore, the minimum number of antiparallel strand cycles needed to fabricate the graph G as presented earlier is $N_{\min} = |E| - |V| + 2 - 2\gamma(G) = 1 + \xi(G)$.]

A spanning tree T^* that realises Theorem 1, that is for which $\xi(G, T^*) = \xi(G)$, is called a *Xuong tree*, and a maximum genus embedding of G can be built up from T^* by an iterative process as follows [28]:

The process starts with a twice-around-the-tree walk on T^* , similarly as in Figure 1(b). In topological terms, this represents a 1-face embedding of T^* in the sphere (genus $g = 0$), with the given twice-around-the-tree walk as its boundary walk (= cycle with repeated edges).



■ **Figure 6** Splitting and joining faces by inserting edges. (a) A single face and its boundary walk. (b) The face/boundary walk is split in two by the insertion of an edge in the walk between vertices v_1 and v_2 . (c) The two faces/walks are merged into one with the insertion of another edge between v_2 and v_3 .

The co-tree edges in $co(T^*) = co(G, T^*)$ are then merged to this walk in adjacent pairs as presented in Figure 6. For each pair, the first inserted edge splits the boundary walk in two (Figure 6(a,b)), which introduces an additional face in the embedding, but does not increase the embedding genus. However inserting the second, adjacent edge merges the two boundary walks/faces back into one (Figure 6(c)), which increases the embedding genus by 1.

This process can be continued until all even-sized components of $co(T^*)$ are exhausted and each odd-sized component is reduced to a single edge. (A simple depth-first search argument shows that in any component the edges can be matched in adjacent pairs except for one in the case of an odd-sized component.) At this point an embedding genus of $\frac{1}{2}(\beta(G) - \xi(G, T^*))$ has been achieved, and adding the remaining edges individually no longer increases the genus. Since T^* was specifically chosen to be a spanning tree of the graph G that minimises the value $\xi(G, T^*)$, the resulting system of boundary walks represents a maximum genus embedding of G .

A Xuong tree T^* serving as a starting point for this process can be found in polynomial time by a reduction to the *matroid parity problem*, for which polynomial time algorithms exist [24, 9]. This approach for finding Xuong trees was presented by Furst et al. [8], who also provide a time complexity bound of $O(mnd \log^6 n)$ for the method, where m is the number of edges in the graph G , n is the number of vertices, and d the maximum vertex degree.

It is easy to see that if a graph $G = (V, E)$ does not have a 1-face embedding, it can be modified to have one by removing one edge from each odd-sized component of $co(T^*)$, and that no smaller number of edge removals suffices. Suppose, namely, that $co(T^*)$ has $k \geq 2$ odd-sized components, and that removing some $k' < k$ edges from G also resulted in a 1-face embeddable graph $G' = (V, E')$. In that case one would have

$$\gamma(G') = \frac{1}{2}(|E'| - |V| + 1) = \frac{1}{2}(|E| - |V| + 1 - k') > \frac{1}{2}(|E| - |V| + 1 - k) = \frac{1}{2}(\beta(G) - \xi(G)) = \gamma(G),$$

which is a contradiction, since G' , a subgraph of G , cannot have a higher genus embedding than the highest genus embedding of G itself.

Applied to the task of minimum kissing loop RNA wireframe design, these considerations lead to the following *Xuong tree design method* for a wireframe graph G :

1. Find a Xuong tree T^* for G .
2. For every odd-sized component C of the co-tree $co(T^*)$, remove one edge from C (to be constituted later as a kissing-loop pair).
3. Now the reduced graph G' has $\xi(G') = 0$, hence $N_{\min} = 1$.
4. Find a single-cycle routing for G' using Xuong's algorithm as presented above.
5. Incorporate the removed edges as kissing-loop pairs into the routing.

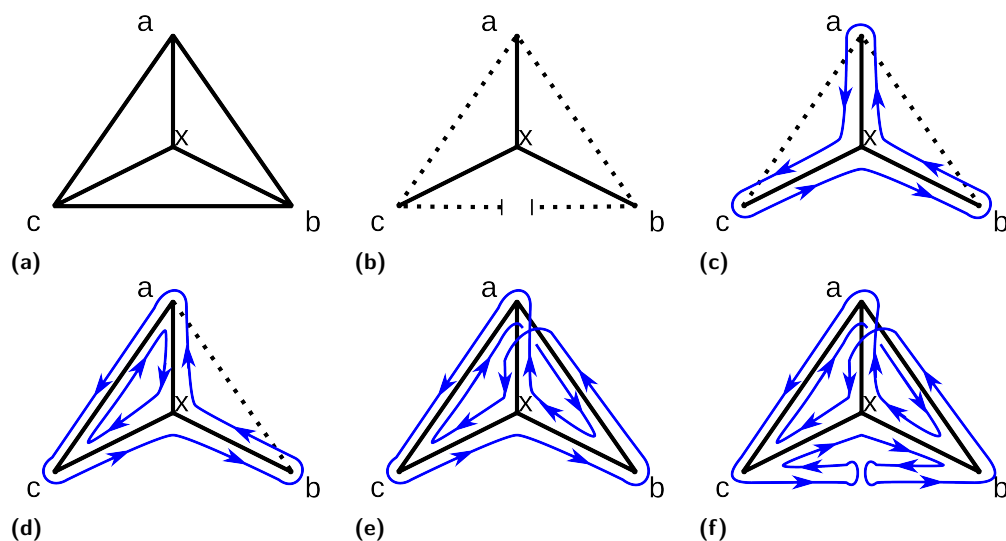


Figure 7 The Xuong tree RNA wireframe design method applied on a tetrahedron. (a) A Schlegel diagram of a tetrahedron. (b) The tetrahedron with one edge removed and marked as a kissing loop. The solid line represents a Xuong tree. (c) The initial route along the Xuong tree: $a \rightarrow x \rightarrow c \rightarrow x \rightarrow b \rightarrow x \rightarrow a$. (d) The partial route augmented with a new edge $\{c, a\}$ from the co-tree. Note that the cycle count is now increased to two: $a \rightarrow c \rightarrow x \rightarrow b \rightarrow x \rightarrow a$ and $a \rightarrow x \rightarrow c \rightarrow a$. (e) After inserting the adjacent edge $\{a, b\}$, cycle count drops back to one: $a \rightarrow c \rightarrow x \rightarrow b \rightarrow a \rightarrow x \rightarrow c \rightarrow a \rightarrow b \rightarrow x \rightarrow a$. (f) The final tetrahedron with the deleted edge reintroduced as a kissing loop.

The method is illustrated in Figure 7 for a tetrahedron. Since a tetrahedron has 4 vertices and 6 edges, all its spanning trees and their co-trees have 3 edges, which means that any co-tree has at least one odd-sized component and a tetrahedron is thus not 1-face embeddable. (In fact all the co-trees of a tetrahedron are connected and of size 3.) Removing one edge, however, results in a graph that can be 1-face embedded. The Xuong tree design method will then find a Xuong tree of this reduced tetrahedron and use it to construct a 1-face embedding. The removed edge is reintroduced as a kissing-loop pair in the final step.

4 Upper-embeddable graphs

A graph $G = (V, E)$ that admits an ideal, 1-face or 2-face embedding (depending on whether $|E| - |V|$ is odd or even), is called *upper-embeddable*. Such graphs require only at most one kissing-loop pair using the Xuong tree design method. Many interesting graph classes are upper-embeddable, including the following ones listed by Gross et al. [12, p. 752]:

- Locally connected graphs,
- Cyclically edge-4-connected graphs,
- k -regular vertex-transitive graphs of girth g with $k \geq 4$ or $g \geq 4$,
- Loopless graphs of diameter 2,
- $(4k + 2)$ -regular graphs and $(2k)$ -regular bipartite graphs.

A graph G is *locally connected*, if for every vertex v in G , its open neighbourhood (vertices adjacent to v , excluding v) forms a connected graph. This graph class being upper-embeddable is of particular interest, since it contains e.g. the wireframes of all fully triangulated polyhedra, and wireframe models are commonly triangulated to enhance their

rigidity. (A supporting theoretical result here is that the wireframe of a convex polyhedron is structurally rigid, if and only if the polyhedron is fully triangulated [23]). Also the Platonic solids tetrahedron, octahedron and icosahedron are fully triangulated. As another example, a graph G is said to be *vertex transitive*, if for every pair of vertices in G , there exists an automorphism mapping one vertex to the other. Intuitively, this means that the graph looks the same from the point of view of any individual vertex. All the wireframes of Platonic solids have this property, and, since the cube and the dodecahedron both have girth (shortest cycle length) ≥ 4 , they too are upper-embeddable. Together with the results on the tetrahedron, octahedron and icosahedron, this entails that all the wireframes of Platonic solids can be created using just one kissing-loop pair.

5 The DNAforge design tool

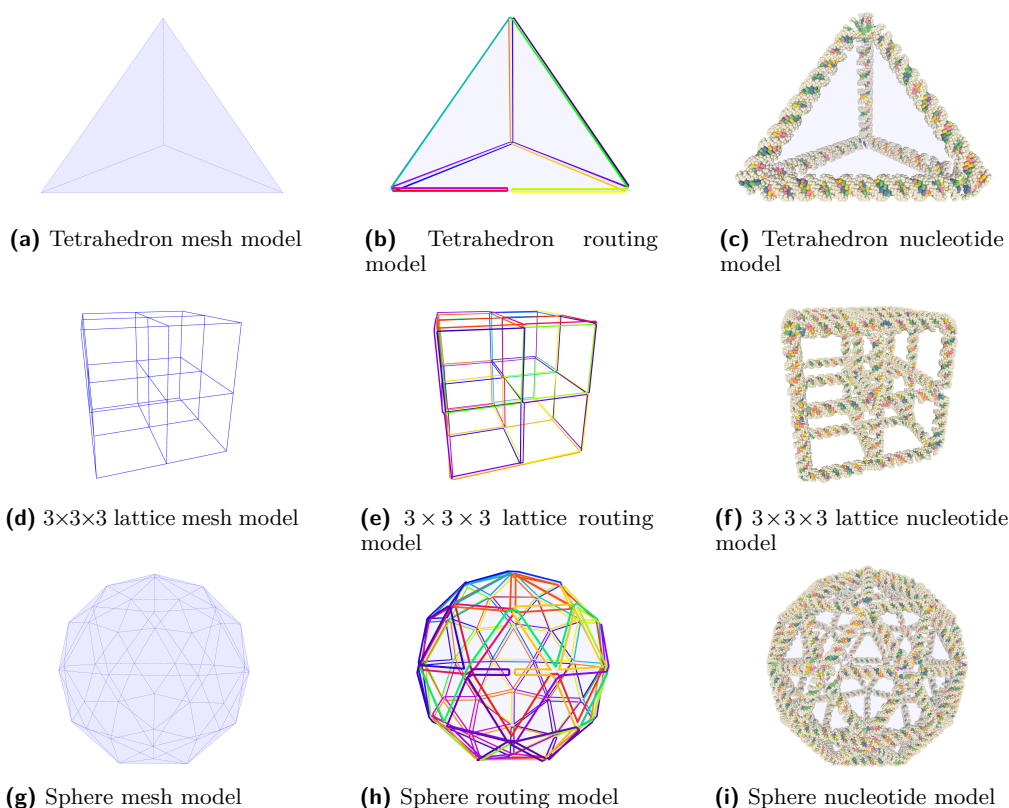


Figure 8 The XT-RNA design workflow in *DNAforge* for a tetrahedron ((a), (b), and (c)), a $3 \times 3 \times 3$ lattice ((d), (e), and (f)), and a sphere ((g), (h), and (i)).

DNAforge [5] is an online platform for designing DNA and RNA wireframe nanostructures from 3D models. It enables the user to transform any connected wireframe 3D model into a nucleic acid nanostructure, applying one of several DNA and RNA design methods from the literature, with a single click. The Xuong tree design technique is integrated in *DNAforge* as method XT-RNA. The workflow for the XT-RNA method is depicted in Figure 8 for a tetrahedron, a $3 \times 3 \times 3$ cubical lattice, and a sphere. Note that the tetrahedron and the sphere require only one kissing loop, presented in the foreground, whereas the $3 \times 3 \times 3$ lattice requires none.

The *DNAforge* interface gives the user options to minimise strain in the designed nanostructure via a duplex-level physical simulation, or to run a nucleotide-level *oxDNA* [22] simulation of the structure directly from the interface, provided that the *DNAforge* backend module and the *oxDNA* simulation engine are installed. The primary sequence for an XT-RNA design is currently generated randomly, subject to Watson-Crick pairing conditions, and the strand routing is also currently based on a randomised spanning tree search process rather than the matroid-reduction approach. This randomised method seems to work very efficiently for upper-embeddable graphs, suggesting that they have many Xuong trees, but it is not guaranteed to always result in a maximum genus embedding for graphs that are not upper-embeddable.

The final design can be exported as a PDB file, a UNF file [16], or as *oxDNA* files, and the primary sequence can be exported as a CSV file.

6 Conclusion

Discussion and further work

We have introduced a general single-stranded RNA wireframe design method, which minimises the use of kissing loops, and is based on high genus graph embeddings utilising Xuong trees. The XT-RNA implementation of this method is available on the *DNAforge* tool at <https://dnaforge.org/>.

One remaining concern is that while the Xuong tree designs typically have at most one kissing loop, the helical pairings that constitute the wireframe edges come out highly entangled. Further work is needed to address this issue. Related challenges arise in primary sequence generation and managing the potential knottedness of the global routing of the RNA strand. While a strong antiparallel trace of a wireframe embedded in a genus 0 surface can be arranged to be an unknot, there are no such general guarantees for higher-genus embeddings of wireframes. These problems could potentially be addressed by e.g. admitting some number of kissing-loop pairs beyond the theoretical minimum and optimising their placement. On the other hand, since RNA strands are not closed cycles, the problems of entanglement and knottedness could possibly also be addressed by trying to influence the folding pathways. Eventually experimental work will be critically important to assess the significance of these concerns and the prospects of different solution strategies.

The Xuong tree routing approach can also be extended to other RNA and DNA wireframe design techniques that employ edge-connector motifs. For example, it can be used to minimise the number of scaffold crossovers in the spanning-tree based Daedalus method for DNA and RNA:DNA hybrid wireframe design [26, 21]. The *DNAforge* implementation of this method for DNA wireframes already provides the user with this option.

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