



Aalto University  
School of Science  
and Technology

# Combinatorial optimization in pattern assembly (extended abstract)

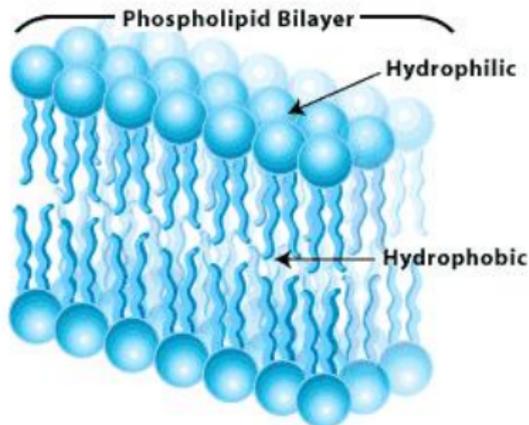
Shinnosuke Seki

Helsinki Institute for Information Technology (HIIT)  
Department of Information and Computer Science, Aalto University  
shinnosuke.seki@aalto.fi

Talks at UCNC 2013 (Milan, Italy, July 1-5th, 2013)

# An example of self-assembly

## Lipid bilayer



The water, external environment, affects components (lipids), but does not intend to lead them to the membrane structure.

# Other examples of self-assembly

Self-assembly is an omnipresent phenomenon:



snow crystal



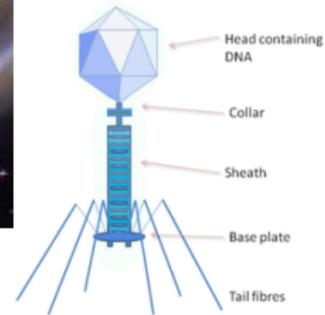
pattern



galaxy



city



virus capsid

# Molecular assembly

## Engineering Goal



# DNA self-assembly

## Why DNA?

DNA is the molecule of choice in many labs [Doty 2012]:

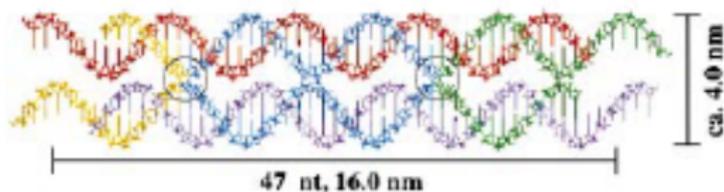
- ▶ it is easy to synthesize
- ▶ its physical properties are well-understood
- ▶ due to its information-bearing properties



# DNA self-assembly

## DNA tile implementation

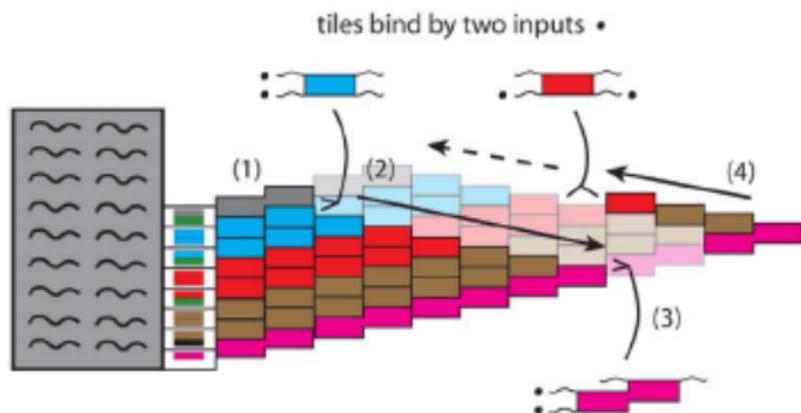
Winfrey, Liu, Wenzler, and Seeman implemented **interactive DNA tiles** *in vitro* [Winfrey et al. 1998] as a **DNA double-crossover molecule**:



4 single strands (red, yellow, purple, green), called **sticky ends** provide this “tile” with the capability of interacting with other “tiles”.

# DNA self-assembly

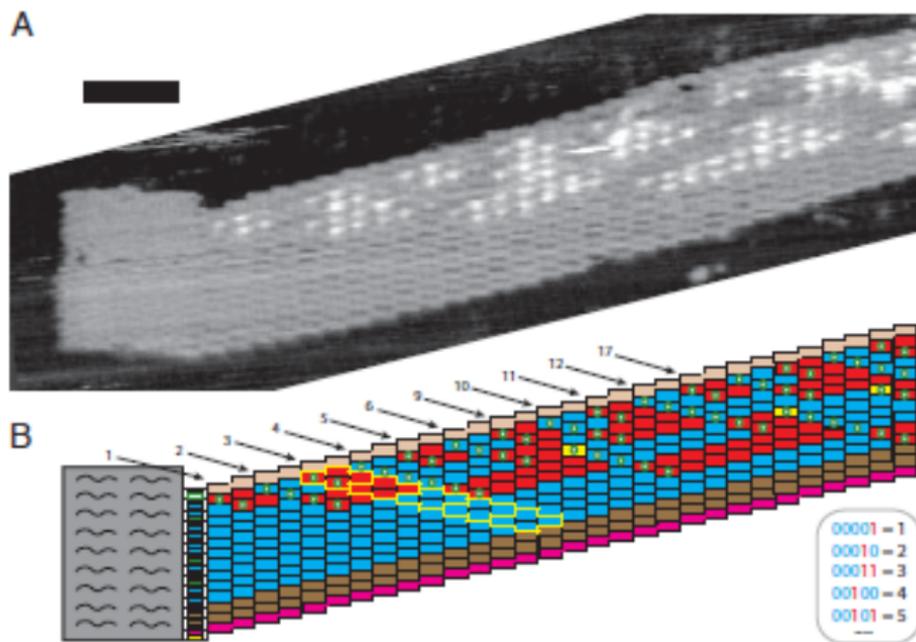
Binary counter assemblies from DNA tiles [Barish et al. 2009]



The gray box to the left is the *seed* (starting point of assembly process) made of **DNA origami** [Rothemund 2006].

# DNA self-assembly

Binary counter assemblies from DNA tiles [Barish et al. 2009]



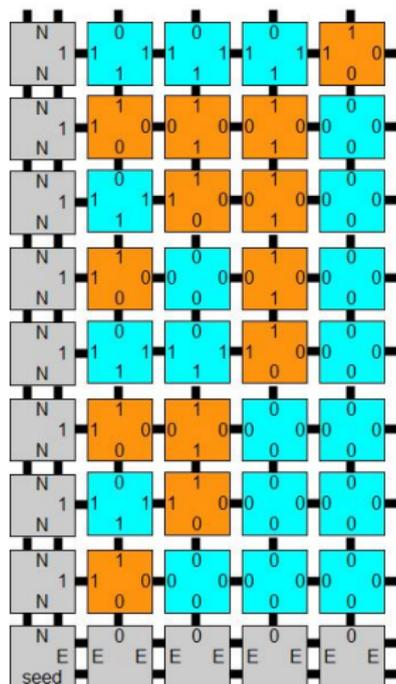
# Pattern assembly

## What is a pattern?

For  $h, w, k \geq 1$ , a  $k$ -colored ( $w \times h$ )-pattern  $P$  is a function from the region  $\{(x, y) \mid 0 \leq x < w, 0 \leq y < h\}$  to the color set  $\{1, 2, \dots, k\}$ .

### Example

The right is a 3-colored (gray, blue, orange)  $5 \times 9$ -pattern, which is the well-known **binary counter pattern**.



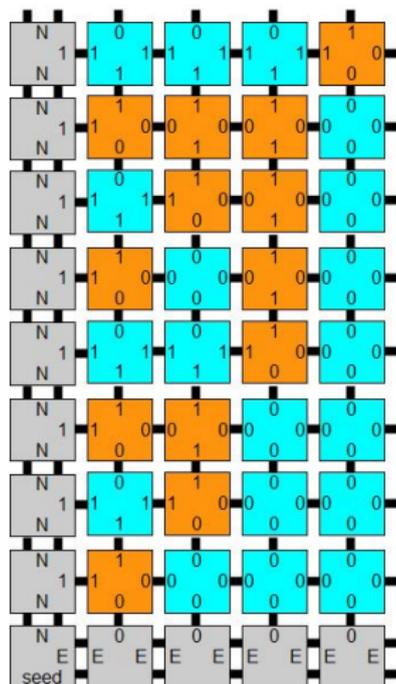
# Pattern assembly

## What is a pattern?

For  $h, w, k \geq 1$ , a  $k$ -colored ( $w \times h$ )-pattern  $P$  is a function from the region  $\{(x, y) \mid 0 \leq x < w, 0 \leq y < h\}$  to the color set  $\{1, 2, \dots, k\}$ .

### Example

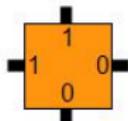
The right is a 3-colored (gray, blue, orange)  $5 \times 9$ -pattern, which is the well-known **binary counter pattern**.



# Pattern assembly

## Rectilinear TAS

A **tile type**  $t \in T$  is a DNA tile abstracted to be a square of specific color:

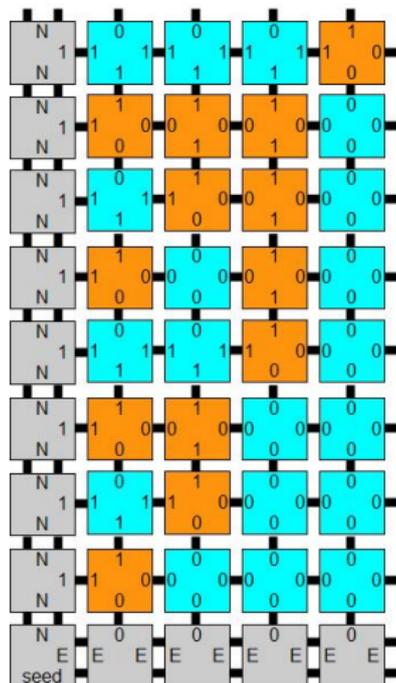


Each side has a label (0, 1, ...) that models a kind of sticky ends.

### Rectilinear Tile-Assembly System (RTAS)

An RTAS is a pair  $(T, \sigma_L)$ , where

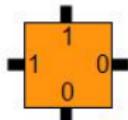
- $T$  a finite set of tile types;
- $\sigma_L$  an L-shape seed.



# Pattern assembly

## Rectilinear TAS

A **tile type**  $t \in T$  is a DNA tile abstracted to be a square of specific color:

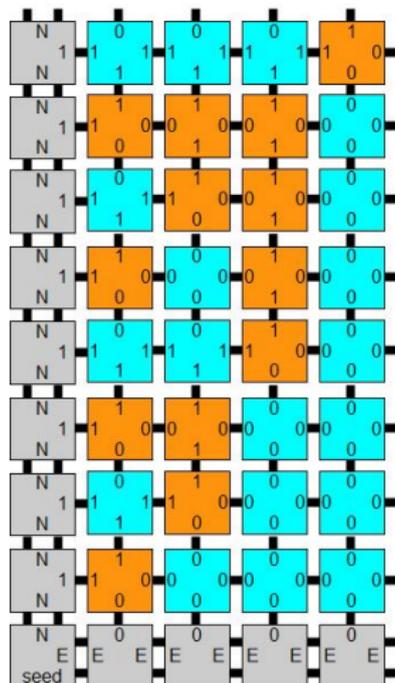


Each side has a label (0, 1, ...) that models a kind of sticky ends.

### Rectilinear Tile-Assembly System (RTAS)

An RTAS is a pair  $(T, \sigma_L)$ , where

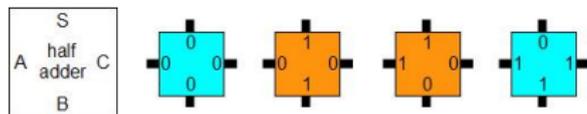
- $T$  a finite set of tile types;
- $\sigma_L$  an L-shape seed.



# Pattern assembly

## Rectilinear TAS

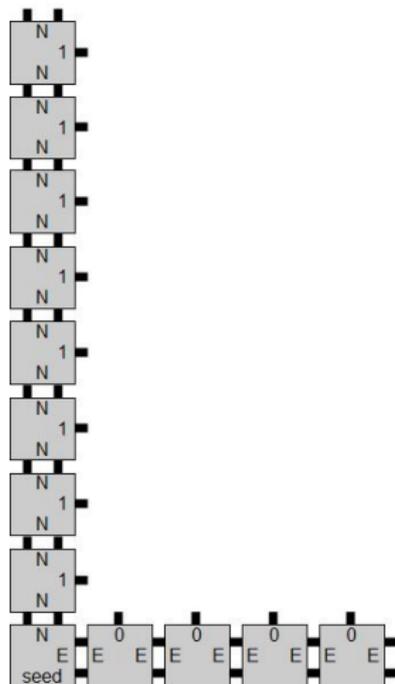
An RTAS with the following 4 tile types



assembles the binary counter pattern.

## RTAS' attachment rule

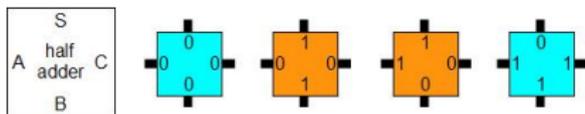
- ▶ Assembly begins with the L-shape seed;
- ▶ A tile attaches if both of its west and south glues match.
- ▶ Assembly proceeds rectilinearly, from south-west to north-east.



# Pattern assembly

## Rectilinear TAS

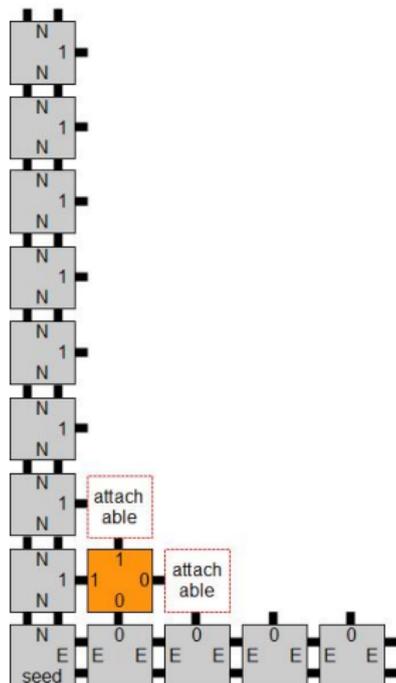
An RTAS with the following 4 tile types



assembles the binary counter pattern.

## RTAS' attachment rule

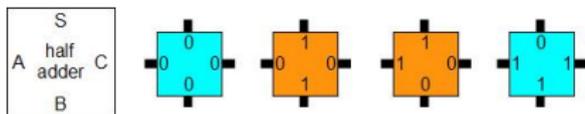
- ▶ Assembly begins with the L-shape seed;
- ▶ A tile attaches if both of its west and south glues match.
- ▶ Assembly proceeds rectilinearly, from south-west to north-east.



# Pattern assembly

## Rectilinear TAS

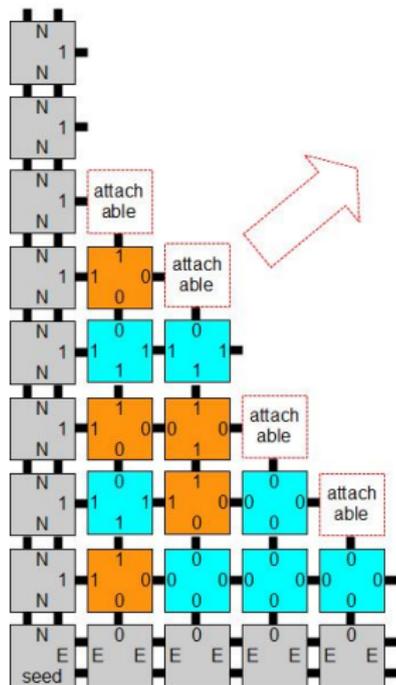
An RTAS with the following 4 tile types



assembles the binary counter pattern.

## RTAS' attachment rule

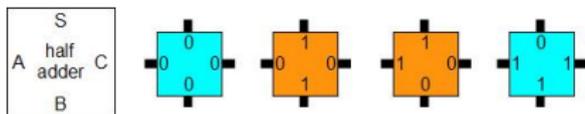
- ▶ Assembly begins with the L-shape seed;
- ▶ A tile attaches if both of its west and south glues match.
- ▶ Assembly proceeds rectilinearly, from south-west to north-east.



# Pattern assembly

## Rectilinear TAS

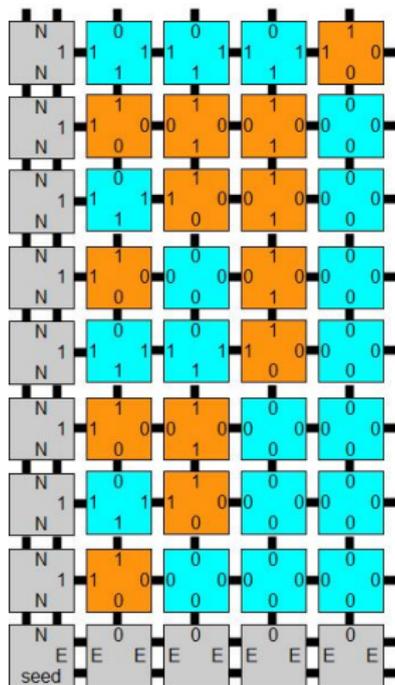
An RTAS with the following 4 tile types



assembles the binary counter pattern.

## RTAS' attachment rule

- ▶ Assembly begins with the L-shape seed;
- ▶ A tile attaches if both of its west and south glues match.
- ▶ Assembly proceeds rectilinearly, from south-west to north-east.



# Pattern Assembly

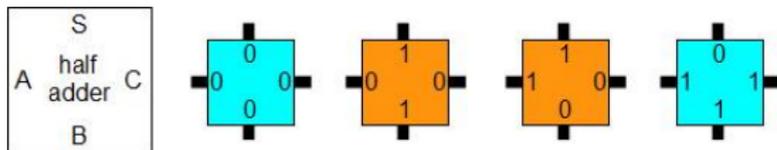
## Rectilinear TAS

### 2-in 2-out logic gates

RTAS can have 4 tile types implement various 2-in 2-out logic gates such as AND, OR, XOR, and half-adder.

### Example

The 4 tile types for counter pattern assembly implement **half-adder**.



# Directed RTAS

## Definition

An RTAS  $(T, \sigma_L)$  is **directed** if for any distinct  $t_1, t_2 \in T$ ,

- ▶ their west glues are different, **or**
- ▶ their south glues are different.

## Unique pattern assemblability

A directed RTAS assembles a unique pattern.

# Directed RTAS

## Definition

An RTAS  $(T, \sigma_L)$  is **directed** if for any distinct  $t_1, t_2 \in T$ ,

- ▶ their west glues are different, **or**
- ▶ their south glues are different.

## Unique pattern assemblability

A directed RTAS assembles a unique pattern.

# PATS

## Definition

### PATTERN SELF-ASSEMBLY TILE SET SYNTHESIS (PATS)

[Ma & Lombardi 2008]

GIVEN: a pattern  $P$

FIND: a directed RTAS with min # of tile types  
that assembles  $P$ .

### Theorem ([Czeizler & Popa 2012])

PATS is NP-hard.

**Proof.** It is due to a polynomial-time reduction from 3SAT. Its concise proof can be found in [Kari, Kopecki, S. 2013].

# Constant colored PATS

## Definition

*“Any given logic circuit can be formulated as a colored rectangular pattern with tiles, using only a **constant number of colors** [Czeizler & Popa 2012]”.*

## $k$ -colored PATS( $k$ -PATS)

GIVEN: a  **$k$ -colored** pattern  $P$

FIND: a directed RTAS with min # of tile types that assembles  $P$ .

# Constant colored PATS

## Our contribution

### Main Theorem

60-PATS is NP-hard.

### Proof.

A given 3SAT instance  $\phi$  is reduced to a 60-colored pattern  $P(\phi)$  in a polynomial-time such that:

$\phi$  is satisfiable  $\iff$  a directed RTAS assembles  $P(\phi)$   
using at most 84 tile types.



# Proof idea

$P(\phi)$  consists of the following sub-patterns:

- ▶ MAIN CIRCUIT (evaluating 3SAT)
- ▶ GADGETS

# Proof idea

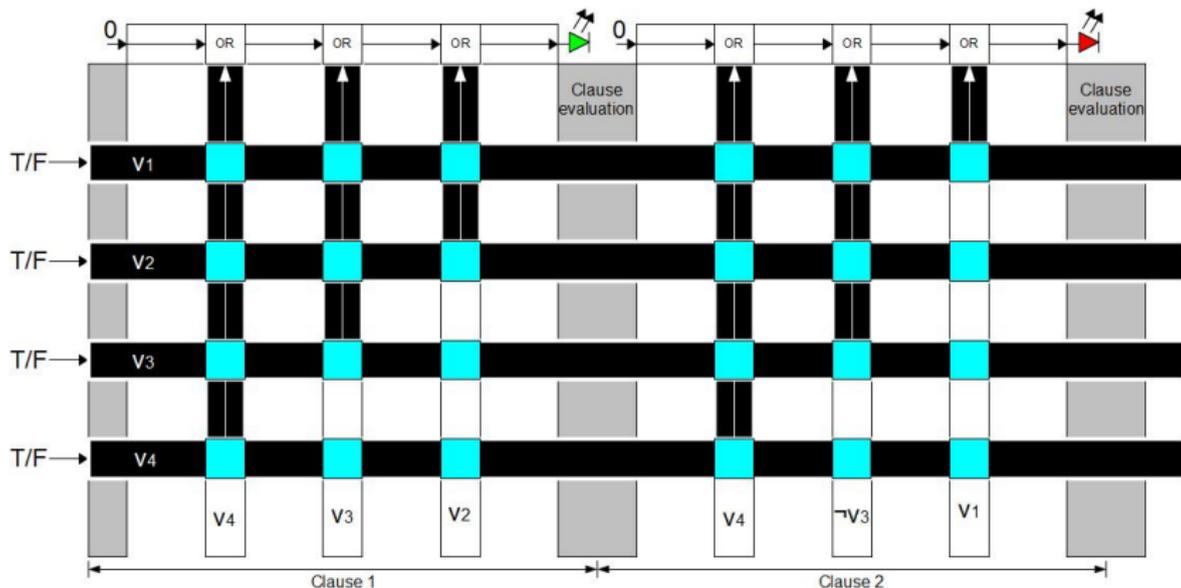
$P(\phi)$  consists of the following sub-patterns:

- ▶ MAIN CIRCUIT (evaluating 3SAT)
- ▶ GADGETS

# Proof idea

## Circuit for evaluating 3SAT instance

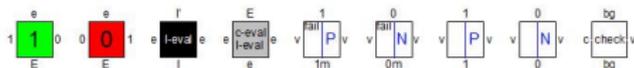
The 3SAT instance  $\phi$  can be evaluated using **2-in 2-out** logic gates (OR, XNOR, and intersection).



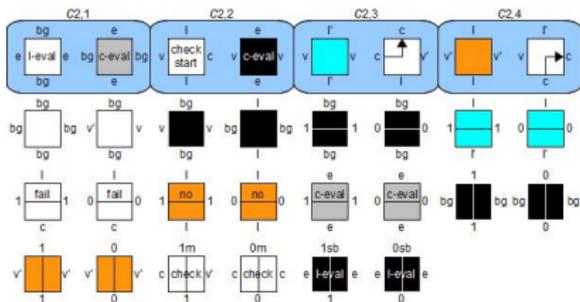
# Proof idea

## Set $T_{3SAT}$ of 51 tile types for MAIN CIRCUIT

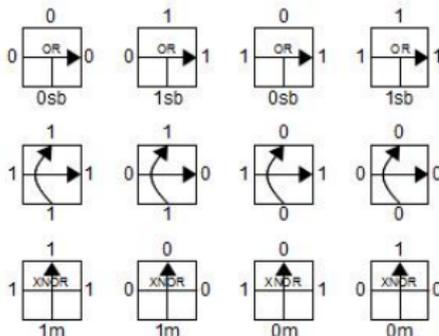
1-type x 9 colors  $c_{1,1}-c_{1,9}$



2-types x 15 colors  $c_{2,1}-c_{2,15}$



4-types x 3 colors  $c_{4,1}-c_{4,3}$

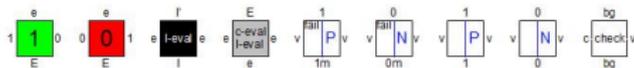


Tiles of these types evaluate  $\phi$  according to the assignment, which are encoded on the L-shape seed  $\sigma_L$ .

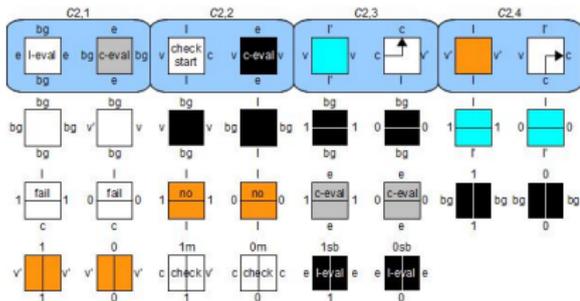
# Proof idea

## Set $T_{3SAT}$ of 51 tile types for MAIN CIRCUIT

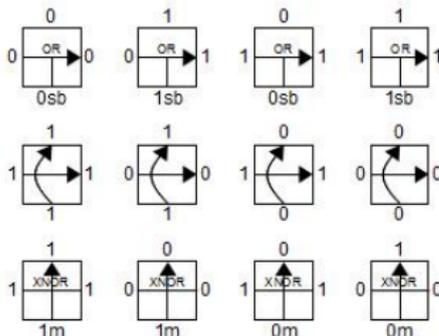
1-type x 9 colors  $c_{1,1}-c_{1,9}$



2-types x 15 colors  $c_{2,1}-c_{2,15}$



4-types x 3 colors  $c_{4,1}-c_{4,3}$

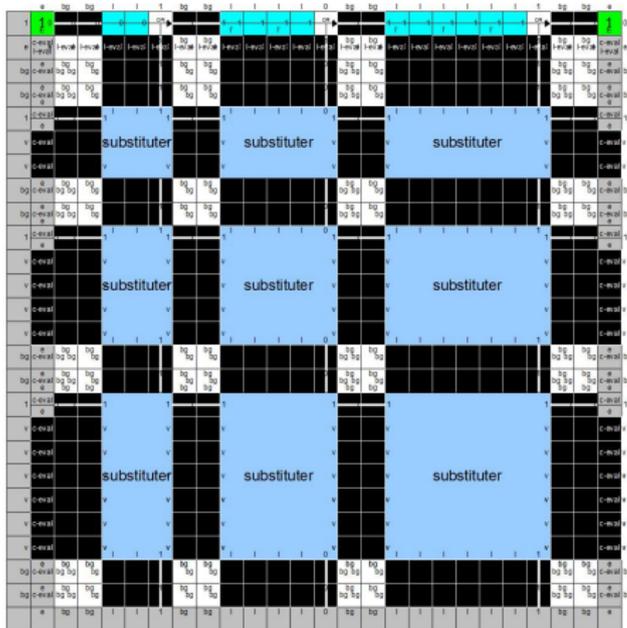


Tiles of these types evaluate  $\phi$  according to the assignment, which are encoded on the L-shape seed  $\sigma_L$ .



# Proof idea

## MAIN CIRCUIT



MAIN CIRCUIT is intuitively a snapshot of the evaluator circuit when all LEDs flush **green**, that is,  $\phi$  is **satisfied**.

Satisfiability  $\rightarrow$   
assemblability

If  $\phi$  is satisfiable, then encode a satisfying assignment on the L-shape seed and tiles in  $T_{3SAT}$  self-assemble MAIN CIRCUIT.

# Proof idea

## Reduction

Satisfiability  $\rightarrow$  84 tile types are enough

84 tile types are enough:

- ▶  $T_{3SAT}$  (of 51 tile types) for MAIN CIRCUIT;
- ▶ 33 tile types for 33 auxiliary colors (1 type per color) in GADGET.

We need to prove:

Unsatisfiability  $\rightarrow$  need for 85 tile types

With  $T_{3SAT}$ , some LED position flushes red **no matter what seed is**, that is, MAIN CIRCUIT would not self-assemble. If 84 were enough, we must find something but  $T_{3SAT}$ .

# Proof idea

## Reduction

Satisfiability  $\rightarrow$  84 tile types are enough

84 tile types are enough:

- ▶  $T_{3SAT}$  (of 51 tile types) for MAIN CIRCUIT;
- ▶ 33 tile types for 33 auxiliary colors (1 type per color) in GADGET.

We need to prove:

Unsatisfiability  $\rightarrow$  need for 85 tile types

With  $T_{3SAT}$ , some LED position flushes red **no matter what seed is**, that is, MAIN CIRCUIT would not self-assemble. If 84 were enough, we must find something but  $T_{3SAT}$ .

# Proof idea

## Role of GADGET

GADGET endows  $P(\phi)$  with the following property:

### Lemma

*In order for a directed RTAS to self-assemble  $P(\phi)$  using 84 tile types, the set  $T_{3SAT}$  must be used.*

### Corollary

*If  $\phi$  is not satisfiable, then no directed RTAS with 84 tile types can self-assemble  $P(\phi)$ .*

### Corollary

*60-PATS is NP-hard.*

# Proof idea

## Role of GADGET

GADGET endows  $P(\phi)$  with the following property:

### Lemma

*In order for a directed RTAS to self-assemble  $P(\phi)$  using 84 tile types, the set  $T_{3SAT}$  must be used.*

### Corollary

*If  $\phi$  is not satisfiable, then no directed RTAS with 84 tile types can self-assemble  $P(\phi)$ .*

### Corollary

*60-PATS is NP-hard.*

# Proof idea

## Role of GADGET

GADGET endows  $P(\phi)$  with the following property:

### Lemma

*In order for a directed RTAS to self-assemble  $P(\phi)$  using 84 tile types, the set  $T_{3SAT}$  must be used.*

### Corollary

*If  $\phi$  is not satisfiable, then no directed RTAS with 84 tile types can self-assemble  $P(\phi)$ .*

### Corollary

*60-PATS is NP-hard.*

# Approximability and PTAS

## Corollary

*For any  $c \geq 60$ , there is no polynomial-time algorithm for  $c$ -PATS with approximation ratio  $\frac{85}{84}$ .*

## Corollary

*For any  $c \geq 60$ ,  $c$ -PATS does admit any polynomial-time approximation scheme (PTAS).*

# Recent Developments

Theorem ([Johnsen, Kao, S. 2013])

*29-PATS is NP-hard.*

**Proof.**

This is due to a polynomial-time reduction from SUBSET SUM  $\psi$  to a 29-colored pattern  $P(\psi)$  as:  $\psi$  is summable iff there is a directed RTAS with 46 tile types that self-assembles  $P(\psi)$ . □

Corollary

*For any  $c \geq 29$ , there is no polynomial-time algorithm for  $c$ -PATS with approximation ratio  $\frac{47}{46}$ .*

Corollary

*For any  $c \geq 29$ ,  $c$ -PATS does admit any PTAS.*

# Recent Developments

Theorem ([Johnsen, Kao, S. 2013])

*29-PATS is NP-hard.*

**Proof.**

This is due to a polynomial-time reduction from SUBSET SUM  $\psi$  to a 29-colored pattern  $P(\psi)$  as:  $\psi$  is summable iff there is a directed RTAS with 46 tile types that self-assembles  $P(\psi)$ . □

**Corollary**

*For any  $c \geq 29$ , there is no polynomial-time algorithm for  $c$ -PATS with approximation ratio  $\frac{47}{46}$ .*

**Corollary**

*For any  $c \geq 29$ ,  $c$ -PATS does admit any PTAS.*

# Future Works

- ▶ finding the minimum  $c$  such that  $c$ -PATS remains NP-hard (conjectured to be 2).
- ▶ the design of good approximation algorithms for constant colored PATS.

# Acknowledgements

## Thank you!!

My special thanks are due to

- ▶ **Ho-Lin Chen** (National Taiwan Univ., Taiwan), **Da-Jung Cho** (Yonsei University, Republic of Korea), **David Doty** (CalTech, USA), **Oscar Ibarra** (Univ. California, Santa Barbara, USA), **Aleck Johnsen** (Northwestern Univ., USA), **Nataša Jonoska** (Univ. South Florida, USA), **Ming-Yang Kao** (NU), **Jarkko Kari** (Univ. Turku, Finland), **Lila Kari** (Univ. Western Ontario, Canada), **Satoshi Kobayashi** (Univ. Electro-Communications, Japan), **Steffen Kopecki** (UWO), **Florence Linez** (Aalto Univ., Finland), **Amirhossein Simjour** (UWO), **Damien Woods** (CalTech), and anonymous referees for valuable discussions, comments, and encouragements;
- ▶ HIIT (Helsinki Institute for Information Technology) Pump Priming Project Grant No. 902184/T30606
- ▶ Department of Information and Computer Science, Aalto University

# References



R. D. Barish, R. Schulman, P. W. K. Rothemund, and E. Winfree.

An information-bearing seed for nucleating algorithmic self-assembly.

*PNAS* 106(15): 6054-6059, 2009.



E. Czeizler and A. Popa.

Synthesizing minimal tile sets for complex patterns in the framework of patterned DNA self-assembly.

*DNA 18*, LNCS 7433, pp. 58-72, Springer, 2012.



D. Doty.

Theory of algorithmic self-assembly.

*Communications of the ACM* 55(12): 78-88, 2012.

## References (cont.)

-  A. C. Johnsen, M-Y. Kao, and S. Seki.  
Patterned self-assembly tile set synthesis in 29 colors is NP-hard.  
submitted.
-  L. Kari, S. Kopecki, and S. Seki.  
3-color bounded patterned self-assembly (extended abstract).  
*DNA 19*, to appear, 2013.
-  X. Ma and F. Lombardi.  
Synthesis of tile sets for DNA self-assembly.  
*IEEE T. Comput. Aid. D.* 27(5): 963-967, 2008.

## References (cont.)



P. W. K. Rothemund.

Folding DNA to create nanoscale shapes and patterns.

*Nature* 440: 297-302, 2006.



E. Winfree, F. Liu, L. A. Wenzler, and N. C. Seeman.

Design and self-assembly of two-dimensional DNA crystals.

*Nature* 394: 539-544, 1998.