# Mining massive document collections by the WEBSOM method

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### Abstract

A viable alternative to the traditional text-mining methods is the WEBSOM, a software system based on the Self-Organizing Map (SOM) principle. Prior to the searching or browsing operations, this method orders a collection of textual items, say, documents according to their contents, and maps them onto a regular twodimensional array of map units. Documents that are similar on the basis of their whole contents will be mapped to the same or neighboring map units, and at each unit there exist links to the document database. Thus, while the searching can be started by locating those documents that match best with the search expression, further relevant search results can be found on the basis of the pointers stored at the same or neighboring map units, even if they did not match the search criterion exactly. This work contains an overview to the WEBSOM method and its performance, and as a special application, the WEBSOM map of the texts of Encyclopaedia Britannica is described.

 $Key\ words:$  Information retrieval, Self-Organizing Map (SOM), text mining, WEBSOM

### 1 Introduction

### 1.1 General

Consider a very large collection of textual items, such as an encyclopaedia or a digital library. It would be of great help for browsing it, if the items could be preordered according to their contents. For the ordering one needs a similarity measure for the pairs of items. One might wish to have a measure that

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compares the meanings of the contents linguistically. When the text corpus is really large, such linguistic analyses become soon computationally overwhelming. It has transpired, however, that rather descriptive and useful similarity relations between text items are already reflected in the use of the words in them.

The word histograms, weighted by some information measure, have traditionally been used in text retrieval. For masses of natural texts, however, even the word histograms, regarded as real vectors, have too high a dimensionality to be feasible as such for the comparison of texts. A conventional method for the reduction of the dimensionality, with minimum loss of information, has been to compute a small number of eigenvectors for each histogram vector, and to use the principal components of the histogram vectors as reduced representations. Even then, the ordering problem must be solved somehow.

In the multidimensional scaling (MDS) methods one represents each item as a point on a two-dimensional plane. If d(i, j) is any computable distance (inversely proportional to similarity) between the items indexed by i and j, respectively, and e(i, j) is the Euclidean distance on the two-dimensional plane between the corresponding points that represent these items, then the problem is to determine a mapping by which, for each pair (i,j), one would have e(i, j) = d(i, j). This usually cannot hold exactly; nonetheless it is possible to minimize some error function. In the Sammon projection (cf. e.g. [1]), which creates rather well-ordered "maps" of items, this function is defined as

$$E = \sum_{i \neq j} \frac{[e(i,j) - d(i,j)]^2}{d(i,j)}.$$
(1)

The main problem with the MDS methods is that one has to know all the items before computation of the mapping. The computation is also a heavy and even impossible task for any sizable collection of items. Therefore there might be considerable interest in methods where an arbitrarily large set of items can be represented by a much smaller set of *models*. The models themselves shall be ordered and describe the distribution of the original items, and if a new item is represented by its closest model, the *quantization error*, and eventually also some *topological error* thereby made shall be minimized on the average. This kind of mapping is defined by the *self-organizing map (SOM)* algorithm [1].

This article describes a version of the SOM algorithms called the *WEBSOM*. The latter can be regarded as another nonlinear projection method. First of all we shall show that the dimensionality of the weighted histogram vectors can be reduced by a very simple and straightforward random projection method, which normally produces as good results as the eigenvalue methods; then these reduced item vectors are mapped in an orderly fashion onto a two-dimensional grid by the SOM algorithm. Thus, prior to any searching or browsing oper-

ations, the representations of the textual items on this grid will be ordered according to all possible partial similarity relations between their text segments. After that, when one has been able to locate any interesting items on the "map" to start with, further relevant items, the search arguments of which were not defined, will be found from the same or neighboring map units of the WEBSOM.

#### 1.2 The Batch Map version of the SOM

The essence of the Self-Organizing Map algorithm, and a simple explanation of the "order" can be illustrated by the following setting that describes the batch-type SOM [2]. This version has been used in the present work.

Consider Figure 1 where a two-dimensionally ordered array of units, each one having a model  $\mathbf{m}_i$  associated with it, is shown. Then consider a list of input samples  $\mathbf{x}(t)$ , where t is an integer-valued index. The initial values of the  $\mathbf{m}_i$  may be selected as random, but a more effective method is to start with, e.g., values selected irregularly along the principal plane of the  $\mathbf{x}(t)$  [1]. Compare each  $\mathbf{x}(t)$  with all the  $\mathbf{m}_i$  and copy each  $\mathbf{x}(t)$  into a sublist associated with that map unit, the model vector of which is closest to  $\mathbf{x}(t)$  relating to some distance measure (e.g., Euclidean).

When all the  $\mathbf{x}(t)$  have been distributed into the respective sublists in this way, consider the neighborhood set  $N_i$  around the map unit corresponding to model  $\mathbf{m}_i$ . Here  $N_i$  consists of all map units up to a certain radius in the grid from unit *i*. In the union of all sublists in  $N_i$ , the mean of the  $\mathbf{x}(t)$  is computed, and this is done for every  $N_i$ . Let these means be denoted  $\mathbf{\bar{x}}'_i$ .

The next step in the process is to replace each old value of  $\mathbf{m}_i$  by the respective  $\bar{\mathbf{x}}_i$ , and this replacement is done concurrently for all the  $\mathbf{m}_i$ .

In the same way as in the traditional SOM algorithms for vectorial variables, one can also use weights in forming the means. Consider that i is the index of the map unit around which  $N_i$  is centered, and let k be another map unit in  $N_i$ . Then the weighting can be made by the factor  $h_{ik}$  that is similar to the neighborhood function in the traditional SOM.

The above procedure shall be iterated always redistributing the  $\mathbf{x}(t)$  into the sublists and computing the new  $\bar{\mathbf{x}}'_i$ . The convergence, however, has not been proved for a general case; as a matter of fact, only one theoretical treatment of the Batch Map exists so far [3]. A well-ordered set of the  $\mathbf{m}_i$  are then those values that coincide with the means of the  $\mathbf{x}(t)$  mapped onto the  $N_i$ .

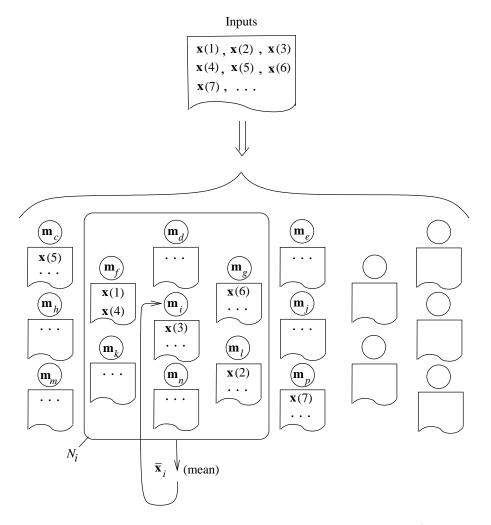


Fig. 1. Illustration of the batch process in which the input samples (vectorial representations of documents) are distributed into sublists under the best-matching models, and then the new models are determined as means of the sublists over the neighborhoods  $N_i$ .

### 1.3 Maps of document collections

In this article we discuss the creation of *document maps*, that is, SOMs of very large text document collections. Text mining systems are in general developed to aid the users in satisfying their information needs, which may vary from searching answers to well-specified questions to learning more of a scientific discipline. The major tasks of web mining are *searching*, *browsing*, and *visualization*. Searching is best suited for answering the specific questions of a well-informed user. Browsing and visualization, on the other hand, are beneficial especially when the information need is more general, or the topic area is new to the user [4]. Document maps provide a means to *explore* large collections of texts by enabling an alternation between visualization, zooming in on interesting information, browsing, and searching for a specific item.

### 1.4 Structure of this article

In Section 2 we discuss how text documents can be efficiently encoded as real-valued vectors. The efficient construction of very large document maps is described in Section 3. Section 4 presents examples of very large document maps created in the WEBSOM project, and describes how the maps can aid in the different text mining tasks. In addition, the semantic and pragmatic analysis of words using the maps is discussed.

## 2 Encoding documents statistically

### 2.1 Vector space method

In the basic vector space method [5] the stored documents are represented as binary vectors where the components correspond to words of a vocabulary, and the value of the component is 1 if the respective word is found in the document; otherwise the value is 0. Instead of binary values, real values can be used in which each component corresponds to some function of the frequency of occurrence of a particular word in the document.

The main problem of the vector space method is the large vocabulary in any sizable collection of free-text documents, which results in a vast dimensionality of the document vectors.

### 2.2 Methods for dimensionality reduction

In the following, some methods for reducing the dimensionality will be discussed. These are applicable to all cases where the documents are encoded using the vector space model, i.e. as a document-by-word matrix.

### 2.2.1 Latent Semantic Indexing

In a technique called Latent Semantic Indexing [6] the document-by-word matrix is analyzed using singular value decomposition (SVD) and the least significant elements of the resulting latent representation are discarded. After this, each document is represented as a linear combination of the low-dimensional (typically between 100- and 200-dimensional) latent representations of the document vectors. In addition to reducing dimensionality, the SVD also introduces similarities between the representations of words based on their co-occurrence statistics in the documents.

### 2.2.2 Random Projection

A low-dimensional representation for documents can be obtained as a *random* projection of the high-dimensional representation vector onto a much lowerdimensional space [7]. The benefit compared with alternative methods such as the latent semantic indexing is extremely fast computation. The accuracy of the results is still comparable.

The random projection is formed by multiplying the document vectors by a random matrix, in which the output dimensionality is smaller than the input dimensionality. This technique introduces small spurious randomly distributed similarity errors between words. However, it has been shown both theoretically and experimentally that if the output dimensionality is large enough, the random effects have only a minimal effect on the computation of similarities between documents [7-11].

The random projection of documents can be computed extremely fast. Without much deteriorating the randomness of the projection the projection matrix can be taken as sparse, whereby the computation can be done even more efficiently [10]. Assume that each column contains a fixed number of (say, five) randomly distributed ones and the rest of the elements are zeros. When constructing a reduced-dimensional document vector, for each word in the document only the components corresponding to the five non-zero elements in the matrix need be updated. Hence, pointers from each word to the correct locations can be constructed beforehand, and the computational complexity of the dimensionality reduction is only  $\mathcal{O}(w)$  where w is the average number of words in a document.

### 2.2.3 Word clustering

Clustering methods can be used for reducing the number of data by grouping similar items together [12]. If similar words can be clustered together, documents can be represented as *histograms of word clusters* rather than of individual words. Various early approaches for categorizing words have been described in [13]. In languages with rigid word order, such as English, the distribution of words in the immediate context of a word contains considerable amounts of information regarding the syntactic and semantic properties of the word [14–16]. The self-organizing map has been used to cluster words based on the distributions of words in their immediate contexts [14,15,17,18]. The subsequent categories have been used for the encoding of documents, e.g., in [19,20]. Sample word categories found by the SOM are shown in Figure 2.

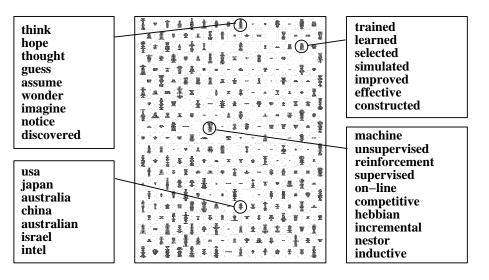


Fig. 2. A word category map calculated based on texts from a Usenet discussion group called comp.ai.neural-nets. Four sample categories are shown in the insets.

#### 2.3 Weighting of words

With all of the previously described encodings better results are obtained if the words can be provided with weights that somehow reflect the importance of each word. The importance may correspond to, e.g., their ability to discriminate between topics. Various weighting methods for words are discussed, e.g., in [21].

### 2.3.1 IDF-based weights

For the weighting of a word one can use one of the well-known "inverse document frequency" (IDF) -based weighting schemes. An example of such a scheme applied to calculating the weight for word i in document j is given by

$$IDF(i) = \log \frac{N}{df_i},\tag{2}$$

where N is the number of documents in the collection, and  $df_i$  is the number of documents that contain the term *i*. This weight is then multiplied by  $tf_{i,j}$ , i.e., the frequency of the term *i* in document *j*. Alternatively one may use  $1 + \log tf$  or  $\sqrt{tf}$ .

### 2.3.2 Entropy over topical document classes

If, however, the documents have some relevant topical classification, the words can also be weighted according to their Shannon entropy over the set of document classes (for details, see [10]).

# 3 Fast computation of very large document maps

The computational complexity of the baseline version of the SOM is only linear in the number of data samples. However, the complexity depends quadratically on the number of map units.

For document maps intended for browsing the document collection, the resolution (number of map units per number of documents) should be good, since browsing is easier if there are representations of only a few, say, ten documents in a map unit on the average. Hence, for such a resolution, the number of map units has to be proportional to the number of documents. For very large document collections such as the almost 7 million patents discussed in Section 4.1 the resulting computational complexity might become problematic.

We have earlier [10,22] introduced methods that reduce the computational complexity of the SOM significantly. First, a large SOM can be initialized by estimating its model vectors from a smaller map that has been computed accurately ahead of time. Second, in the rest of the computation the large SOM can then be assumed to be close to its final asymptotic state, and the speed-up methods take advantage of that.

# 3.1 Rapid initialization by increasing the map size

Several suggestions for increasing the number of the SOM units during the construction of the map (cf., e.g. [23]) have been made. Perhaps the simplest but also a less accurate way would be to multiply the map size by inserting new units in between the old ones, and setting their model vectors to the means of the neighboring original model vectors.

We have used a method that is almost as simple and fast, but incorporates additional knowledge about the "border effects" of the SOM. It is well known (cf., e.g., [1], Fig. 3.5) that there is a characteristic "shrink" of the density of the model vectors at the borders of the SOM grid. The border effect depends on the size of the SOM and its neighborhood function.

We first evaluate the "shrink effect" for a small and a large SOM, respectively, using a very simple hypothetical density function for the inputs. As the same relative border effects can also be seen in SOMs with more complex density functions, they are estimated on the basis of interpolation/extrapolation coefficients computed from the simpler case [1].

#### 3.2.1 Addressing old winners

Assume that we are somewhere in the middle of the training process, whereupon the SOM is already smoothly ordered although not yet asymptotically stable. Assume that the model vectors are not changed much during one iteration of training. When the same training input is used again some time later, it may be clear that the new winner is found at or in the vicinity of the old one. When the training vectors are then expressed as a linear table, with a *pointer* to the corresponding *old winner location* stored with each training vector, the map unit corresponding to the associated pointer is searched for first, and then a local search for the new winner in the neighborhood around the located unit will suffice (Figure 3). After the new winner location has been identified, the associated pointer in the input table is replaced by the pointer to the new winner location. This will be a significantly faster operation than an exhaustive winner search over the whole SOM. The search can first be made in the immediate surrounding of the said location, and only if the best match is found at its edge, searching is continued in the surrounding of the preliminary best match, until the winner is one of the middle units in the search domain.

In order to ensure that the matches are globally best, a full search for the winner over the whole SOM can be performed intermittently.

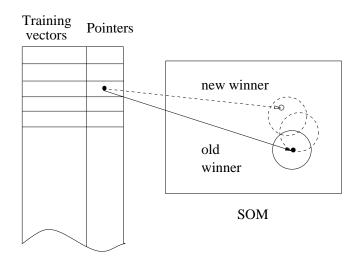


Fig. 3. Finding the new winner in the vicinity of the old one, whereby the old winner is directly located by a pointer. The pointer is then updated.

Koikkalainen [24,25] has suggested a similar speedup method for a search-tree structure.

#### 3.2.2 Initial best matching units

Even searching for the winners once, which has to be done in order to initialize the pointers from the data to the respective winners, is very time-consuming for very large maps. Fortunately there exists a computational shortcut for this stage as well.

When we were increasing the map size as described in Section 3.1, we assumed that each model vector  $\mathbf{m}_i^{(l)}$  of the large map is a linear combination of the three closest model vectors  $\mathbf{m}_1^{(s)}$ ,  $\mathbf{m}_2^{(s)}$ , and  $\mathbf{m}_3^{(s)}$  of the small map:

$$\mathbf{m}_{i}^{(l)} = \alpha_{i} \mathbf{m}_{1}^{(s)} + \beta_{i} \mathbf{m}_{2}^{(s)} + (1 - \alpha_{i} - \beta_{i}) \mathbf{m}_{3}^{(s)}.$$
 (3)

The interpolation/extrapolation coefficients  $\alpha_i$ , and  $\beta_i$  are computed for each map unit *i*.

As the winner in the larger map is defined by the maximal inner product between the document vector  $\mathbf{x}$  and the model vectors, then, according to equation 3 it is expressible as  $\mathbf{x}^T \mathbf{m}_i^{(l)} = \alpha_i \mathbf{x}^T \mathbf{m}_1^{(s)} + \beta_i \mathbf{x}^T \mathbf{m}_2^{(s)} + (1 - \alpha_i - \beta_i) \mathbf{x}^T \mathbf{m}_3^{(s)}$ . Note that the inner products  $\mathbf{x}^T \mathbf{m}_i^{(s)}$  are already known for the smaller map; they can be stored during its computation. Hence only a cumulative sum of three products is needed for each distance computation, *irrespective of the dimensionality of the input*.

If necessary, the winner search can still be speeded up by restricting the search to the area of the dense map that corresponds to the neighborhood of the winner on the sparse map.

### 3.3 Additional computational shortcuts

### 3.3.1 Parallelized Batch Map algorithm

The Batch Map algorithm facilitates a very efficient parallel implementation. The sublists of samples under the best matching models (see Figure 1) can be implemented as pointers, and even pointers from the data vectors to the best matching units will do. The data set can be divided in a shared memory computer to a set of parallel processors. Each processor computes the pointers for its data vectors, using the speedup method discussed in Section 3.2.1.

After the pointers have been computed, the previous values of the model vectors are not needed any longer, and the new values can be computed inplace. The mean over the sublists within the neighborhoods  $N_i$  of Figure 1 can be computed in two phases. First, the mean of data in the sublist of each map unit *i* is computed with a recursive expression. Each processor computes the mean for a subset of map units.

Second, the mean over the neighborhood is computed as the average over the unit-wise means within the neighborhood. This computation can be implemented in parallel as well.

# 3.3.2 Saving memory by reducing representation accuracy

If the dimensionality of the data vectors is large, which is certainly true for text documents, then a reduced representation accuracy is sufficient for distance computations [1,26]. We have used a common adaptive scale for all of the components of a model vector, representing each component with eight bits only. This reduces the memory requirements significantly. Sufficient accuracy can be maintained during the computation if a suitable amount of noise is added to each new value of a model vector before quantizing it [1].

# 3.3.3 Utilizing the sparsity of the vectors

It is generally known that even long documents have plenty of zeros in their word histograms as approximated by Zipf's law, and for short documents, such as scientific abstracts, only a small proportion of the dimensions are non-zero. When the dimensionality is reduced by the pointer method of random projection (Sec. 2.2.2), the zeros are still predominant in the projected document vectors.

When searching for the best matching model by inner products, the zerovalued components do not contribute to distances. It is then possible to tabulate the indices of the non-zero components of each input vector, and thereafter consider only those components when computing the distances.

# 3.4 Performance evaluation of the new methods

# 3.4.1 Numerical comparison with the traditional SOM algorithm

In order to verify that the shortcut methods do not compromise the quality, we compared traditional sequential SOMs with SOMs computed using all the above speedup methods.

The SOMs were computed for a medium-sized document collection (see [10]), and the quality of the results was measured with two performance indices: the average quantization error (distance of the inputs from their closest models), and classification accuracy (separability of the 21 subsections of the patent classification system on the SOM). The parameters of SOM computation were chosen based on preliminary experiments.

As can be seen from Table 1, the quality of the resulting maps is comparable, but the time needed for the shortcut methods (in this rather small-size example) is only about one tenth of that of the traditional algorithm. The time has been measured with a SGI O2000 computer *without parallelization* of any programs.

Table 1

Comparison of the new shortcut methods with the traditional SOM algorithm on a smaller collection of 13,742 documents. The figures are averages from five test runs with different random matrices used in the encoding of the documents, and the error margins are standard deviations.

	Classification accuracy $(\%)$	Quantization error	Time (s)
Traditional SOM	$58.2 \pm 0.2$	$0.799 \pm 0.001$	$2550\pm40$
Shortcut methods	$58.0\pm0.2$	$0.798 \pm 0.002$	$241 \pm 3.5$

# 3.4.2 Comparison of the computational complexity

For very large maps the difference in the computation times is even more marked than in Table 1, but can only be deduced from the computational complexities given in Table 2 (for details see [10]); in our largest experiments so far the theoretical speed-up was about  $\mathcal{O}(d)$ , that is, about 50,000-fold. In practice the speed-up is even larger since most of the methods reported in this section only reduce the (unknown) coefficients of the terms of Table 2.

### Table 2

Computational complexity of the methods. Here N denotes the number of data samples, M the number of map units in the small map, and d the dimensionality of the input vectors. It has been assumed that the number of map units in the final SOM is chosen to be proportional to the number of data samples.

	Computational complexity
Traditional SOM	$\mathcal{O}(dN^2)$
Shortcut methods	$\mathcal{O}(dM^2) + \mathcal{O}(dN) + \mathcal{O}(N^2)$

# 4 Text mining with document maps

In the early experiments and public demonstrations on the WEBSOM we utilized collections of articles obtained from Usenet discussion groups [19,20,27– 30]. The material was selected because it was easily available, and considered to be challenging due to its colloquial nature which tends to make the vocabularies larger.

In further experiments, various other kinds of text materials were organized, including scientific abstracts [31,32], Finnish news articles [33] and patent abstracts (a small experiment in [33] and a very large one in [10,34]).

In an information retrieval experiment on a small reference collection (CISI) statistically significant improvement in retrieval accuracy was observed when compared to the basic vector space model [35].

# 4.1 Largest experiment: nearly 7 million patent abstracts

The largest WEBSOM map so far consisted of 1,002,240 models (map units). It was computed of a data base of 6,840,568 patent abstracts available in electronic form and written in English. The vector space model with entropy-based word weighting was used for encoding the documents, and the dimensionality was reduced by random projection with pointers. During computation the SOM was enlargened three times, and all the speedup methods described in Section 3 were utilized.

A sample view of the resulting map is shown in Figure 4. For more details see [10].

# 4.2 Experiment on the Britannica collection

The collection consisted of about 68,000 articles from the Encyclopaedia Britannica, and additionally summaries, updates, and other miscellaneous material of about 43,000 items. Some of the articles were very long, and were split into several sections. In total, about 115,000 text items were obtained, and each was regarded as a document to be organized by the WEBSOM.

# 4.2.1 Preprocessing and document encoding

The text items were preprocessed to remove any HTML markup, links, and images. Numbers were converted into special dummy symbols. Inflected word forms were converted to their base forms using a morphological analyzer [36]. After the preprocessing the average length of the text items was 490 words.

The total vocabulary consisted of 325,275 different words (i.e., base forms and word forms that were not recognized by the morphological analyzer). After

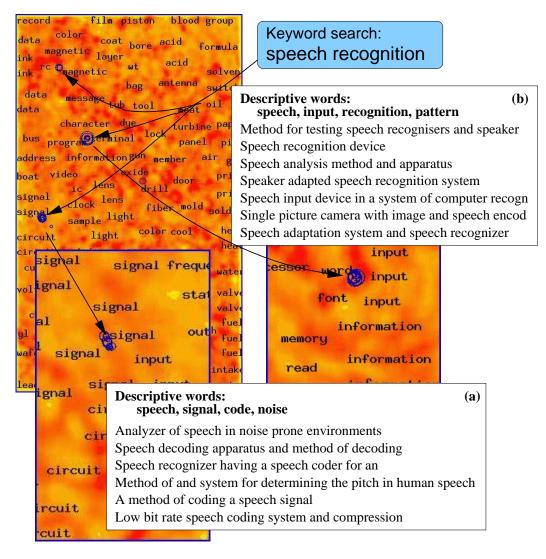


Fig. 4. A map of 7 million patent abstracts. A search for the words 'speech recognition' was performed on the map of nearly 7 million patent abstracts. The best-matching map units are marked with circles on the map display. A detailed view of an area appears upon clicking the map display. The results of the search are located in several clusters of the map, two of which are looked at here more closely. Some of the titles of patents on each cluster are shown in the insets. The lists of 'descriptive words' as well as the labels on the map display are produced automatically using the labeling method [32]. Note that in the inset (a) the emphasis is on the signal aspect of speech, whereas in (b) there are patents concerning speech input devices as well as recognition methods.

removing a list of 107 stopwords and the words occurring less than 30 times in the corpus, the remaining vocabulary consisted of 39,058 words.

The documents were encoded as random projections of the word histograms (cf. Sec. 2.2.2). The end dimension of the projection was 1000 and the number of ones in each column of the sparse random projection matrix was three. The IDF method was used for weighting the words (cf. Sec.2.3.1).

#### 4.2.2 Construction of the map

The map of the size of 72x168 units was created by two-stage magnification, first from 6x14 to 24x56 and then to the final size. The batch map algorithm and speeded winner search were utilized for fast convergence of the map, and the model vectors were represented using reduced accuracy to decrease the memory requirements.

#### 4.2.3 Obtaining descriptive labels for text clusters and map regions

Since the document maps can be very large it is extremely helpful for the user if the contents of a map region or an individual map unit can be characterized concisely. The characterizations can, for example, be used to label regions of a document map display. The method introduced in [32] produces such characterizations; here we will describe it briefly.

For a text cluster, characteristic words that describe it can be obtained using the following measure of goodness G:

$$G(w,j) = F_j(w) \frac{F_j(w)}{\sum_i F_i(w)},\tag{4}$$

where  $F_j(w)$  is the proportion of the occurrences of the word w of all words in cluster j. The measure compares the relative number of occurrences to the other clusters. However, often the cluster borders are not clearly defined, but instead rather fuzzy, and then it would be desirable to compare to distant clusters only. When the clusters are ordered, as is the case with the regions of an ordered document map, this fuzziness can be taken into account in the keyword selection. This is done by leaving out a so-called *neutral zone* between the cluster to be labeled and the rest of the collection. A further advantage of the ordering is that when labeling a large document collection, the granularity of labeling (the size of the cluster being labeled) can be varied according to the viewing depth (or degree of zooming) of the map display.

Table 3 lists some examples of sets of descriptive words obtained for individual map units on the Britannica map picked up from different clusters.

### 4.2.4 Exploration of the map

Figure 5 shows an example on how the ordering of the map may be useful for examining a topic. In this case the label that was found to be interesting when viewing the whole map display was 'shark', and clicking it led to a map region with information on various species of fish as well as many other animals.

As with the patent abstract map, one can also locate interesting starting-points

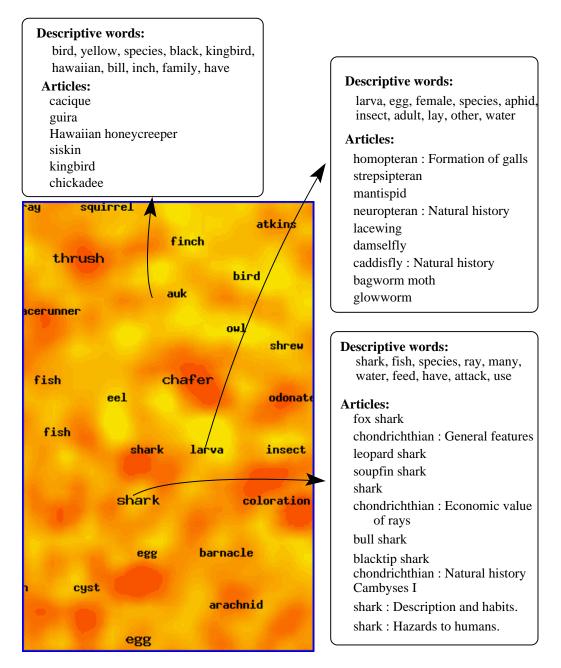


Fig. 5. A close-up of the map of Encyclopaedia Britannica articles. The user has clicked a map region with the label 'shark', obtaining a view of a section of the map with articles on sharks, various species of fish and eel (in the middle and left); insects and larvae (lower right corner); various species of birds (upper right corner); etc. Searches performed on the map confirm that also whales and dolphins can be found nearby (not shown). A topic of interest is thus displayed in a context of related topics. The three insets depict the contents of three map units, i.e., titles of articles found in the unit. By clicking the title, one may read the article. The 'descriptive words' list was obtained with the labeling method (Sec. 4.2.3) and contains a concise description of the contents of the map unit.

Table 3 Sample sets of descriptive words for Britannica map units. mountain alp range high foot valley air winter avalanche snow africa soil vegetation basin african resource animal formation crop transport century church utrecht town museum city hall st centre flemish island turkish have greek cyprus corsica lesbos plain coast sector century city roman town italy bc cathedral etruscan al gulf kuwait emirate mile bahrain persian island km square conservation resource national park use natural soil area water reserve

for exploration by performing a search on the map, as shown in Figure 6. Three different aspects of a search on 'whale' are found in three separate clusters of hits. The cluster containing articles on different kinds of whales, their properties and habitats lies near the 'shark' region depicted in detail in the Figure 5, which indeed seems proper.

## 4.3 Semantic and pragmatic analysis of words

### 4.3.1 Providing suggestions for domain-specific concepts

Often local regions of the organized document map can be seen to form topical clusters of texts. On a sufficiently large or specific text collection such clusters can be very specific, sometimes corresponding to domain-specific concepts.

In an ongoing collaborative project, where the purpose is to analyze a large customer query data set, and to eventually forward customer queries automatically to an appropriate customer servant, document maps have been used for organizing the queries and the responses. Next, the lists of topic descriptor words obtained automatically using the labeling method described in Section 4.2.3 are used as 'raw topical concepts'. The suggested raw concepts are examined by a computational linguist, who then decides on a set of central concepts specific for the helpdesk application.

### 4.3.2 Terminological analysis using a document map

By terminological analysis we mean the study of *terms*, i.e., words or expressions that have some precise meaning, often specific to a science, art, or profession (definition from the Britannica dictionary). In particular, we are interested in terms made up of two or more words. Examples of such terms are 'color display' and 'speech recognition'. In both cases the individual words

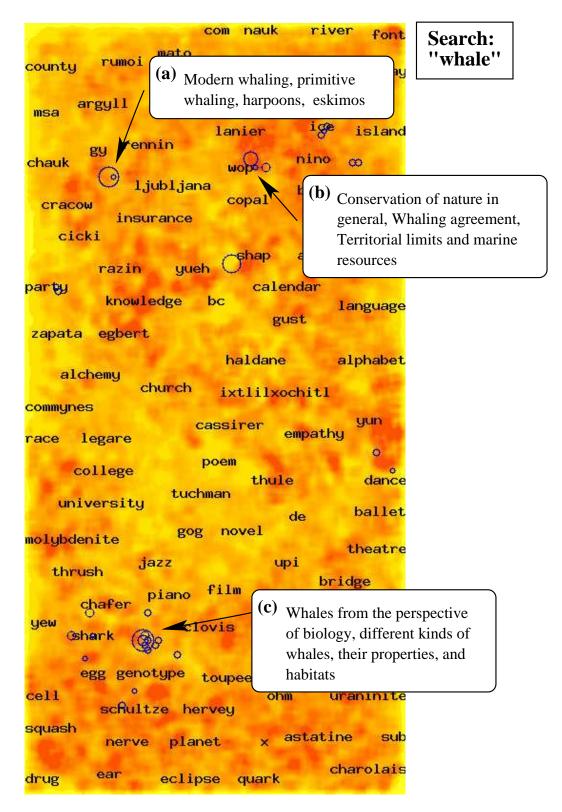


Fig. 6. The map of Encyclopaedia Britannica articles where the results of a search for 'whale' are depicted. Three different aspects regarding whales are indicated in the insets.

that make up the term are rather common and can be considered rather polysemous.

To study a term, one may perform a keyword search for the combination of words on a document map. Visualization of the results on the map display (see, e.g., Figure 4) often reveals sets of responses that are clustered together on the display. Upon examining an individual response cluster it appears that typically a cluster reveals either the use of the word combination as a precise term, or the use of the two words in some of their other, individual meanings, whereas more rarely a mixing of both cases.

To understand why this is so, consider that a polysemous word is typically used in only one meaning per discourse and therefore per document. If, as claimed, on a large collection the document map regions form coherent topical or discourse-specific clusters, it should then follow that a specific region contains examples of a specific meaning corresponding to a term or a word. Different clusters of the term on the map, on the other hand, may correspond to different meanings of the term.

# 4.4 Related work on ordered document maps

In an early study Lin formed a small map of scientific documents based on the words that occurred in the titles [37,38] and later extended the method to full-text documents [39]. Scholtes has utilized the SOM in constructing a neural document filter and a neural interest map [40]. Merkl has organized software library components [41–43] and studied hierarchical document map formation [44–46]. Document maps have also been created by Zavrel [47]. A system rather similar in appearance to the WEBSOM has been used to organize collections of scientific articles in the field of astronomy [48,49]. The Arizona AI group has utilized the SOM for categorizing Internet documents to aid in searching and exploration in a system called the ET-Map [50,51], for adaptive visualization of search results [52,53], and as part of a specialized application for medical data mining on the Internet [54]. Recently in [55] a commercial system was described that applies the SOM for creating document maps.

In the Themescape method an ordered document landscape is produced by a fast clustering and projection method [56]. In a promising new approach an ordered document maps is constructed by utilizing a probabilistic model instead of the vector similarity-based representations and clustering methods [57].

Self-organized document maps have also been applied for obtaining topically focused statistical language models intended for large vocabulary speech recog-

nition [58]. The experiments were carried out on English patent abstracts and Finnish news articles, and a considerable improvement was observed in a word prediction task compared to an unfocused model, or a model focused using a prior categorization.

### 5 Conclusions

In a number of studies on different text collections the WEBSOM method has been shown to be robust for organizing large and varied collections onto meaningfully ordered document maps. The developed computational speedups enable the creation of very large maps. The topically ordered document maps with a suitable user interface provide a tool usable for a combination of visualization, search, and exploration tasks. The combination offers a new way of interacting with large and varied text repositories. In this article we have described our most recent application of the method, namely the creation of a document map of the Encyclopaedia Britannica articles.

In addition to practical text mining with the purpose of retrieving knowledge about the world the document maps may provide a valuable tool for the theoretical analysis of language, in particular for the semantic and pragmatic analysis of words and multi-word terms.

The visualized similarity graphs appear to be especially suitable for interactive data mining or exploration tasks in which the user either does not know the domain or the full-text database very well, or has only a vague or preliminary idea of what "interesting information" would be like.

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